

IN THE UNITES STATES PATENT AND TRADEMARK OFFICE

Docket: LP-2002

Applicant

Dominique MICHEL

Serial No.

10/520,362

Filed

01/06/2005

For

PROCESS FOR THE PREPARATION OF

N-MONOSUBSTITUTED β-AMINO ALCOHOLS

TRANSMITTAL LETTER

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Attached hereto, please find:

- (1) An Art Statement with a reference list and copies of the cited references
- (2) A return receipt postcard

If any additional fees are due upon the filing of this paper, please charge Deposit

Account No. 06-1110. A duplicate of this Transmittal Letter is attached for such purpose.

Respectfully submitted,

Deta

Datě

Fisher, Christen & Sabol Suite 1108 1725 K Street, N.W.

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Tel.: 202 659-2000 Fax: 202 659-2015 Virgil H. Marsh

Reg. No.: 23,083



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N-MONOSUBSTITUTED β-AMINO ALCOHOLS

ART STATEMENT

Mail Stop Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Enclosed is a copy of Liu, H., et al., Chirality, 12, (2000), 26-29, that is discussed on page 1 of this application.

Enclosed is a copy of Deeter, J., et al., Tetrahedron Lett., 31, (1990), 7101-7104, that is discussed on page 1 of this application.

Enclosed in a copy of Mannich, C., et al., Chem. Ber., 55, (1922), 356-365, that is discussed on page 2 of this application.

Enclosed is a copy of Blicke et al., J. Am. Chem. Soc., 64, (1942), 451-454, that is discussed on pages 2 and 3 of this application.

Enclosed is a copy of Becker et al., Wiss. Z. Tech. Hochsch. Chem. Leuna-Merseburg, 11, (1969), 38-41, that is discussed on page 2 of this application.

A copy of the International Search Report for applicant's corresponding International (PCT) patent application is enclosed.

Enclosed is a copy of European Published Patent Application No. 0457559 (European '559). The International Search Report cited European '559 as being

technological background (Category A), cited all of European '559 as being relevant, and cited European '559 as being relevant to Claims 1 to 9 and 13 of applicant's corresponding International patent application. European '559 is discussed on pages 2 and 3 of this application.

Enclosed is a copy of European Published Patent Application No. 0650965 (European '965). The International Search Report cited European '965 as being technological background (Category A), cited all of European '965 as being relevant, and cited European '965 as being relevant to Claims 1 to 9 and 13 of applicant's corresponding International patent application. European '965 is discussed on pages 2 and 3 of this application.

Enclosed is a copy of U.S. Patent No. 5,362,866, that the International Search Report stated corresponds to European '965.

Enclosed is a copy of U.S. Patent No. 5,491,243, that the International Search Report stated corresponds to European '965.

Enclosed is a copy of European Published Patent Application No. 0046288 (European '288). The International Search Report cited European '288 as being technological background (Category A), cited page 9, line 18 to 27, and Example 1 of European '288 as being relevant, and cited European '288 as being relevant to Claim 1 of applicant's corresponding International patent application.

Enclosed is a copy of U.S. Patent No. 4,515,986, that the International Search Report stated corresponds to European '288.

Enclosed is a copy of Blicke, F. F., Organic Reactions, vol. I, (1942), pages 303-341, (Blicke). The International Search Report cited Blicke as being technological background (Category A), cited all of Blicke as being relevant, and

cited Blicke as relevant to Claim 1 of applicant's corresponding International patent application.

Enclosed is a copy of Kiyoshi Matsumoto, Angewandte Chemie., vol. 94, no. 12, (1982), page 937, (Kiyoshi). The International Search Report cited Kiyoshi as being technological background (Category A), cited all of Kiyoshi as being relevant, and cited Kiyoshi as being relevant to Claim 1 of applicant's corresponding International patent application.

Enclosed is a copy of Chemical Abstracts., vol. 56, no.1, (1962), abstract no. 363g, (CA. '363). The International Search Report cited C.A. 363 as being particularly relevant by itself (Category X), cited all of C.A. 363 as being relevant, and cited C.A. 363 as being relevant to Claim 9 of applicant's corresponding International patent application.

Enclosed is a copy of Denis, G.I., et al., Izvest. Vysshikh Ucheb. Zavedenii, Khim. I Khim. Tekhnol., vol. 4, (1961), pages 426-428, (Denis et al.). The International Search Report cited Denis et al. as being particularly relevant by itself (Category X), cited all of Denis et al. as being relevant, and cited Denis et al. as being relevant to Claim 9 of applicant's corresponding International patent application.

Enclosed is a copy of Chemical Abstracts, vol. 52, no. 13, (1958), abstract no. 11067b, (C.A. '067). The International Search Report cited C.A. '067 as being particularly relevant by itself (Category X), cited all of C.A. '067 as being relevant, and cited C.A. '067 as being relevant to Claim 9 of applicant's corresponding International patent application.

Enclosed is a copy of Nobles, Lewis, W. et al., J. Am. Pharm. Assoc., Sci. Ed., vol. 67 (1958), pages 77-81, (Nobles et al.). The International Search Report cited Nobles et al. as being particularly relevant by itself (Category X), cited all of Nobles et al. as being relevant, and cited Nobles et al. as being relevant to Claim 9 of applicant's corresponding International patent application.

Enclosed is a copy of Chemical Abstracts, vol. 63, no. 8, (1965), abstract 9900g, (C.A. '900). The International Search Report cited C.A. '900 as being particularly relevant by itself (Category X), cited all of C.A. '900 as being relevant, and cited C.A. '900 as being relevant to Claim 9 of applicant's corresponding International patent application.

Enclosed is a copy of Landi-Vittory, R., et al., Farmaco (Pavia), vol. 18, no. 2, (1965), pages 109-118, (Landi-Vittory et al.). The International Search Report cited Landi-Vittory et al. as being particularly relevant by itself (Category X), cited all of Landi-Vittory et al. as being relevant, and cited Landi-Vittory et al. as being relevant to Claim 9 of applicant's corresponding International patent application.

Enclosed is a copy of Chemical Abstracts, vol. 67, no. 11, (1967), abstract no. 53946c, (C.A. '946). The International Search Report cited C.A. '946 as being particularly relevant by itself (Category X), cited all of C.A. '946 as being relevant, and cited C.A. '946 as being relevant to Claim 9 of applicant's corresponding International patent application.

Enclosed is a copy of Chemical Abstracts, vol. 101, no. 13, (1984), abstract no. 110812c, (C.A. '812). The International Search Report cited C.A. '812 as being particularly relevant by itself (Category X), cited all of C.A. '812 as being

relevant, and cited C.A. '812 as being relevant to Claim 9 of applicant's corresponding International patent application.

Enclosed is a copy of Saakyan, A.M., et al., Arm. Khim. Zh., vol. 37, no. 4, (1984), pages 261-265, (Saakyan et al.). The International Search Report cited Saakyan et al. as being particularly relevant by itself (Category X), cited all of Saakyan et al. as being relevant, and cited Saakyan et al. as being relevant to Claim 9 of applicant's corresponding International patent application.

Enclosed is a copy of Chemical Abstracts, vol. 95, no. 1, (1981), abstract no. 6707u, (C.A. '707). The International Search Report cited C.A. '707 as being particularly relevant by itself (Category X), cited all of C.A. '707 as being relevant, and cited C.A. '707 as being relevant to Claim 9 of applicant's corresponding International patent application.

Enclosed is a copy of Agarwal, S.K., et al., J. Indian Chem. Soc., vol. 57, no. 12, (1980), pages 1240-1241, (Agarwal et al.). The International Search Report cited Agarwal et al. as being particularly relevant by itself (Category X), cited all of Agarwal et al. as being relevant, and cited Agarwal et al. as being relevant to Claim 9 of applicant's corresponding International patent application.

Enclosed is a copy of Chemical Abstracts, vol. 70, no. 9, (1969), abstract no. 37630b, (C.A. '630). The International Search Report cited C.A. '630 as being particularly relevant by itself (Category X), cited all of C.A. '630 as being relevant, and cited C.A. '630 as being relevant to Claim 9 of applicant's corresponding International patent application.

Enclosed is a copy of Tilak, B.D., et al., Indian J. Chem., vol. 6. no. 8, (1968), pages 422-427, (Tilak et al.). The International Search Report cited Tilak et

al. as being particularly relevant by itself (Category X), cited all of Tilak et al. as being relevant, and cited Tilak et al. as being relevant to Claim 9 of applicant's corresponding International patent application.

Enclosed is a copy of Chemical Abstracts, vol., 102, no. 1, (1985), abstract no. 6087e, (C.A. '087). The International Search Report cited C.A. '087 as being particularly relevant by itself (Category X), cited all of C.A. '087 as being relevant, and cited C.A. '087 as being relevant to Claim 9 of applicant's corresponding International patent application.

Enclosed is a copy of Xu, Xiujuan, et al., Huaxue Xuebao, vol. 42, no. 7, (1984), pages 688-692, (Xu et al.). The International Search Report cited Xu et al. as being particularly relevant by itself (Category X), cited all of Xu et al. as being relevant, and cited Xu et al. as being relevant to Claim 9 of applicant's corresponding International patent application.

Enclosed is a copy of Chemical Abstracts, vol. 59, no. 2, (1963), abstract no. 1625b, (C.A. '625). The International Search Report cited C.A. '625 as being particularly relevant by itself (Category X), cited all of C.A. '625 as being relevant, and cited C.A. '625 as being relevant to Claim 9 of applicant's corresponding International patent application.

Enclosed is a copy of Saldabos, N., et al., Latvijas Psr Zinatnu Akad.

Vestis, Kim. Ser., vol. 2, (1962), pages 309-310, (Saldabos et al.). The

International Search Report cited Saldabos et al. as being particularly relevant by
itself (Category X), cited all of Saldabos et al. as being relevant, and cited

Saldabos et al. as being relevant to Claim 9 of applicant's corresponding
International patent application.

Enclosed is a copy of Lewis, W., et al., Journal of the American

Pharmaceutical Association, vol. 47, no. 2, (1958), pages 77-81, (Lewis et al.).

The International Search Report cited Lewis et al. as being technological background (Category A), cited page 80, column 2, to page 81, column 2, of Lewis et al. as being relevant, and cited Lewis et al. as being relevant to Claim 9 of applicant's corresponding International patent application.

A list of the above-mentioned references is enclosed.

Respectfully submitted,

Dugust 1, 2005

Date

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Tel.: 202-659-2000 Fax: 202-659-2015 Reg. No.: 23,083

CERTIFICATE OF MAILING

I hereby certify that this correspondence of is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on August 1, 2005.

FISHER, CHRISTEN & SABOL 1725 Street, N.W., Suite 1108 Washington, D.C. 20006

FORM PTO	ISCLOSURE DN	Atty Docket: LP-	2002	Serial No.: 1	0/520,36	2	
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AUG 0 3 ZUUS PADEMARIE Examiner		Filing Date: 01/06/2005 Group:					
RADENAL		U.S. PATENT DOCUMENTS					
Examiner Initial	Document Number	Date	Name	Class	Sub- Class	Fili Da	_
5,3	862,866 11	1/8/1994	Berglund	549	75		
5,4	191,243 2/	13/1996	Berglund	549	75		
4,5	515,986 5/	7/1985	Berglund et al.	564	471		
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Examiner	Document	FOREIGN PAI	ENT DOCUMENTS		Sub-	Transl	ation
Initial	Number	Date	Country	Class	Class	Yes	97
04	57559		Europe				
069	50965		Europe				
004	46288		Europe				
O	THER DOCUMENT	S (Including Au	thor, Title, Date, Pertinent	Pages, etc.	.)		4 9 1 24 2
Liu	Liu, H., et. al., Chirality, 12 (2000), 26-29						
De	Deeter J., et al., Tetrahedron Lett., 31, (1990), 7101-7104						
Ma	Mannich, C., et al., Chem. Ber., 55, (1922), 356-365						
Blie	Blicke et al., J. Am. Chem. Soc., 64, (1942), 451-454						
Ве	Becker et al., Wiss. Z. Tech. Hochsch. Chem. Leuna-Merseburg, 11, (1969), 38-41						
Blie	Blicke. F.F., Organic Reactions, vol. I, (1942), pages 303-341						
Kiy	oshi Matsumoto,	Angewandte Cl	nemine., vol. 94, no. 12, (1982), pag	e 937	-	
Ch	Chemical Abstracts., vol. 56, no. 1, (1962), abstract no. 363g						
	Denis, G.I., et al., Izvest. Vysshikh Ucheb. Zavedenii, Khim. I Khim. Tekhnol., vol. 4, (1961), pages 426-428						
Ch	Chemical Abstracts, vol. 52, no. 13, (1958), abstract no. 11067b						

Examiner:	Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

FORM PTO-1449		Atty Docket: LP-2002	Serial No.: 10/520,362		
OIPE OCI	ON DISCLOSURE TATION lodified)				
MR 0 3 5002 3		Applicant:: Dominique MICHEL			
		Filing Date: 01/06/2005	Group:		
TO TRADENT	Nobles, Lewis, W. et al., J. Am. Pharm. Assoc., Sci. Ed., vol. 67, (1958), pages 77-81				
	Chemical Abstracts,	vol. 63, no. 8, (1965), abstract 9900g			
	Landi-Vittory, R., et a	al., Farmaco (Pavia), vol. 18, no. 2, (1965), pages 109-118			
	Chemical Abstracts, vol. 67, no. 11, (1967), abstract no. 53946c Chemical Abstracts, vol. 101, no. 13, (1984), abstract no. 110812c Saakyan, A.M., et al., Ar. Khim. Zh., vol. 37, no. 4, (1984), pages 261-265 Chemical Abstracts, vol. 95, no. 1. (1981), abstract no. 6707u Agarwal, S.K., et al., J. Indian Chem. Soc., vol. 57, no. 12, (1980), pages 1240-1241				
	Chemical Abstracts, vol. 70, no. 9, (1969), abstract no. 37630b				
	Tilak, B.D., et al., Indian J. Chem., vol. 6. no. 8, (1968), pages 422-427				
	Chemical Abstracts, vol. 102, no. 1, (1985), abstract no. 6087e,				
	Xu, Xiujuan, et al., Huaxue Xuebao, vol. 42, no. 7, (1984), pages 688-692				
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	Saldabos, N., et al., Latvijas Psr Zinatnu Akad. Vestis, Kim. Ser., vol. 2, (1962), pages 309-310				
	Lewis, W., et al., Jour pages 77-81	rnal of the American Pharmaceutical As	ssociation, vol. 47, no. 2, (1958,		

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